

# ISHANIKA SECURITIES PVT. LTD.



Member : National Stock Exchange of India Ltd.

SEBI Registration No. CM : INB 231049330 / F&O : INF 231049330

Mapin UIN : 100003509

Certified true copy of the resolution passed at the meeting of the Board of Directors of M/s. Ishanika Securities Pvt. Ltd. held on 28/08/2011 at 9, India Exchange place, 7<sup>th</sup> Floor, Room No.4, Kolkata at 12:25 P.M.

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“RESOLVED THAT the Policy regarding Client Code Modifications has been adopted and approved by the Board of Directors.”

“ Further Resolved THAT Mr. Hari Prasad Khetan , Director of the Company and Mr. Jugal Kishore Sharma, Director & Compliance officer of the company, are authorized to make the Client Code Modifications”

ISHANIKA SECURITIES PVT. LTD.

*Hari Prasad Khetan*

DIRECTOR  
(HARI PRASAD KHETAN)

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## Policy regarding Modification of Client Codes.

With reference to SEBI circular ref. no. CIR/DNPD/6/2011 dated July 5, 2011 and NSE Circular ref. no: NSE/INVG/2011/670 dated: 26/08/2011, regarding modification of Client Codes of Non-Institutional trades. As per the SEBI circular, the following client code modifications would be considered as genuine modifications, provided there is no consistent pattern in such modifications:

Where original client code/name and modified client code/name are similar to each other but such modifications are not repetitive.

Where original client code and modified client code belong to a family. (Family for this purpose means spouse, dependent parents, dependent children and HUF)

Shifting of any trade (institutional or non institutional) to the error account of the Company shall not be treated as modification of client code under SEBI circular dated July 5, 2011, provided the positions arising out of trades in error account are subsequently liquidated/closed out in the market and not shifted to some other client code.

The Company would be required to disclose the client codes which are classified as 'Error Accounts' to the Exchange at the time of UCC upload.

Mr. Hari Prasad Khetan , Director of the Company and Mr. Jugal Kishore Sharma, Director & Compliance officer of the company, are authorized to make the Client Code Modifications and the password of NCMS software (Software used for Client code modifications) are known to both the authorized Directors so that there is no misuse of this facility.

ISHANIKA SECURITIES PVT. LTD.

*Hari Prasad Khetan*

Director